

**HAYES SOLOWAY**  
3450 E. Sunrise Drive, Suite 140  
Tucson, Arizona 85718  
Telephone (520) 882-7623  
Facsimile (520) 882-7643

**RECEIVED**  
**CENTRAL FAX CENTER**

**JAN 23 2007**

Client-Matter Number  
NEC 01FN073

January 23, 2007

---

**TELECOMMUNICATION INFORMATION COVER SHEET**

---

The information contained in this Facsimile message is attorney/client privileged and confidential information intended only for the use of the individual(s) named below. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited! If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the above address via the U.S. Postal Service. If you have received this communication in error, please **DO NOT MAKE ANY COPIES** of it. Thank You.

PLEASE DELIVER THE FOLLOWING PAGES TO:

NAME	COMPANY	FACSIMILE NO.
Examiner PATEL, CAUTAM	United States Patent and Trademark Office	1 571-273-8300

FROM Norman P. Soloway

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE: 11

IF YOU DO NOT RECEIVE ALL PAGE(S), PLEASE CALL: (520) 882-7623.

MESSAGE:

AMENDMENT E UNDER RULE 116

Appl. Of: SHIRAKAWA et al.  
Serial no.: 10/077,639  
Filed: February 15, 2002  
For: OPTICAL DISK DEVICE...  
Docket: NEC 01FN073

Received: 1. FORM 2038 (1 pg)  
2. AMENDMENT E UNDER RULE 116 (9 pgs)

01/23/07 ps

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

JAN 23 2007

In re Appln. Of: SHIRAKAWA et al.  
Serial No.: 10/077,639  
Filed: February 15, 2002  
For: Optical Disk Device...  
Group Art Unit: 2627 Confirmation No.: 5470  
Examiner: Gautam Patel DOCKET: NEC 01FN073

MAIL STOP AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313 1450

AMENDMENT E UNDER RULE 116

Dear Sir:

This amendment is being filed in response to an Final Official Action mailed November 13, 2006.

Please amend the Application as follows:

Amendments to the Claims begin on page 2 of this Amendment.

Remarks/Arguments begin on page 8 of this Amendment.

HAYES SOLOWAY P.C.  
3450 E. SUNRISE DRIVE  
SUITE 140  
YUCSON, AZ 85718  
TEL. 520.882.7623  
FAX. 520.882.7643

175 CANAL STREET  
MANCHESTER, NH 03101  
TEL. 603.668.1900

01/24/2007 TL0111 00000033 10077639

01 FC:1201

400.00 OP

BEST AVAILABLE COPY